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PTO-1390 (Rev. 12-2004)

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## ATTORNEY'S DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES 5404/102 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. November 13, 2002 PCT/JP2003/014419 November 13, 2003 TITLE OF INVENTION NOVEL INTERMEDIATE FOR CARBAPENEM COMPOUND FOR ORAL ADMINISTRATION AND PROCESS FOR PRODUCING THE SAME APPLICANT(S) FOR DO/EO/US Keita Nishino et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 2. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and 3. 囟 (21) indicated below. The US has been elected (Article 31). П A copy of the International Application as filed (35 U.S.C. 371(c)(2)). 5. is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. M b. is not required, as the application was filed in the United States Receiving Office (RO/US). An English translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. П C. have not been made and will not be made. M ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). English translation of the annexes to the International Preliminary Examination Report under PCT Article 10. 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34. Items 11 to 20 Below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. П A preliminary amendment. 13. Ø An Application Data Sheet under 37 CFR 1.76. 14 П A substitute specification. 15. П 16. П A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Form PTO-1449; Copies of Cited References; and Return Receipt Postcard.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC06 Rec'd PCT/PTO 05 MAY 2005

U.S. APPLICATION Applied for	ION NO. (If known, see 37 C.F.B. 150) INTERNATIONAL APPLICATION NO. PCT/JP2003/014419			ATTORNEY'S DOCKET NO. 5404/102					
21.   The following fees are submitted:						CALCULATIONS	PTO USE ONLY		
21.  The following fees are submitted:    Solution   So									
b) Examination fe	e								
🛛 c) Search Fee									
	TOTAL OF ABO								
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						\$			
Surcharge of \$130.00 (37 CFR 1.492(e)).	for furnishing the								
Claims		Number Filed	Number Ext		Rate	2/22 22			
Total Claims		22- 20 =		2	x \$ 50.00 x \$ 200.00	\$100.00			
Independent Claims 4- 3 =  Multiple dependent claim(s) if Applicable)			- 0	+ \$360.00	\$200.00 \$360.00				
ividiuple dependent cia	iini(s) ii Applicabii	=)	TOT		ABOVE CALCUATIONS =	\$300.00 \$1660			
Annlicant claims	small entity status	See 37 CFR 1 27 The fees in				\$1000			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  SUBTOTAL =						\$1660			
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						s			
promy condition	(-)//.				TOTAL NATIONAL FEE=	\$1660			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate						*			
cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +									
TOTAL FEES ENCLOSED=						\$1660			
						Amount to be refunded	\$		
	A . E			- 1		charged	\$		
	a. A check in the amount of \$1660 to cover the above fees is enclosed.								
b. 📙	Please charge my Deposit Account No. 23-1925 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. 🛚	c.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
Send All Correspondence to:									
Brinks Hofer Gilson & Lione									
P.O. Box 10395									
Chicago, IL 60610									
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Customer Number 00757 (Brinks Hofer Gilson & Lione) Name Richard G. Lione						<b>!</b> /			
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